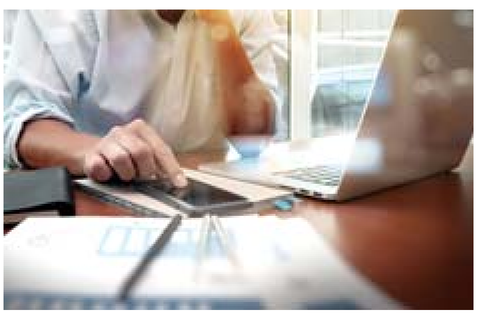
WHAT'S NEW WITH

AE WEALTH MANAGEMENT

Read more about everything new on the AEWM platform.



PLATFORM UPDATES

New AEWM Form

Read more about the new Third-Party Distribution Verification Form.

Learn More »

RMD Tips and Best Practices

These tips will help guide you when it comes to Required Minimum Distributions.

Learn More »

Year-End Checklist

AEWM is helping you and your clients stay on track through the end of 2018.

Learn More »

Holiday Hours

View the days and times AEWM will be closed during the holiday season.

Learn More »

CUSTODIAN UPDATES

Fidelity Update

Review the changes to Fidelity's EFT instructions.

Learn More »

Beneficiary IRA Form Updates

Review updates to TD Ameritrade's IRA Beneficiary Forms.

Learn More »

BACH TALK

Seth Godin's Two Lists

How Seth Godin's two-list concept will change how you see the world.

Learn More »

PLATFORM UPDATES

New AEWM Form

AEWM has a new Third-Party Disbursement Verification Form that will allow our advisors to sign off on verification on third-party transactions. Completing this form removes the need for AEWM to call out to our offices to verify third-party disbursement. Please note, this form must be signed by the advisor.

You can download the form HERE (http://nextlevelproductions.info/aewm/3rd-party-distribution-verification-form.pdf).

As always, please reach out to your VP of Wealth Management at 866.363.9595 (tel:866-363-9595) for further details.

PLATFORM UPDATES

RMD Tips & Best Practices

With the end of 2018 fast approaching, AEWM wants to remind our advisors that Required Minimum Distributions must be taken by Dec. 31. We have put together a list of Tips and Best Practices to help guide you. You can read that HERE (http://nextlevelproductions.info/aewm/rmd-tips-best-practices.pdf). Also, be sure to review the important dates below.

- AEWM:
  - 12/14/2018 – The recommended date to submit distribution requests for the 2018 tax year.
- Fidelity:
  - 12/6/2018 – The "pull-forward" date for all 12/11/2018 – 12/31/2018 distributions.
  - 12/26/2018 – The last day to establish a new periodic distribution plan for a distribution for the 2018 tax year.

  - 12/28/2018 – The last day for EFT/ACH distributions to be processed for the 2018 tax year.
  - 12/31/2018 – The last day to submit distribution requests on Wealthscape for the 2018 tax year.

As always, please reach out to your VP of Wealth Management at 866.363.9595 (tel:866-363-9595) for further details.

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AE WEALTH MANAGEMENT

PLATFORM UPDATES

Year-End Checklist

The end of 2018 is upon us, and your clients are going to look to you to make sure they have everything in order with their accounts. AEWM wants to make sure you have the tools to finish your year strong, so we've created a checklist for you to use while reviewing client files. While not all items will apply to all clients, this checklist can serve as a starting point to make sure you have everything lined up for those end-of-year meetings.

You can view and download the checklist HERE (http://nextlevelproductions.info/aewm/finish-your-year-strong-checklist.pdf).

As always, please reach out to your VP of Wealth Management at 866.363.9595 (tel:866-363-9595) for further details.

PLATFORM UPDATES

Holiday Hours

In order to allow our employees time to celebrate the holidays with their families, AE Wealth Management will be closed the following times. We hope you have a safe and festive holiday season!

Dec. 24, 2018 – Closing early at 12pm CST

Dec. 25, 2018 – Closed

Jan. 1, 2019 – Closed

As always, please reach out to your VP of Wealth Management at 866.363.9595 (tel:866-363-9595) for further details.

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CUSTODIAL UPDATES

Update to Standing Payment Instructions

Beginning December 13, Fidelity will no longer require the 4 day pre-note period while setting up standing payment instructions. As of this date, bank account status and account ownership verification will be conducted in real-time. Please see Important Notice 18-376 (http://nextlevelproductions.info/aewm/important-notice-18-376.pdf) for more details, and contact the AEWM Support Team with any questions.

As always, please reach out to your AE Wealth Support team at 866.363.9595 (tel:866-363-9595) for further details.

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CUSTODIAL UPDATES

Beneficiary IRA Form Updates

TD Ameritrade has made updates to its Beneficiary IRA forms. Please review the updates below and contact AEWM Support with any questions.

Beneficiary IRA Applications

Initial and ongoing source of funding has been removed from the applications. These were previously included in the Customer Due Diligence (CDD) section of the applications. TD will continue to accept the outdated form until further notice.

Beneficiary IRA RMD

The Beneficiary RMD Information for Individuals Form is now available; the client-signed form is used to calculate/update Bene IRA RMD amounts. The form can only be used for first-generation beneficiary RMD calculations, no entity beneficiary RMD calculations. First-generation beneficiary IRA accounts are now eligible for systematic RMD setups when this form is on file. **Please note that Beneficiary Systematic RMDs will still be set up with the Systematic RMD Request Form, but the Beneficiary IRA RMD Information Form must be on file.**

As always, please reach out to your AE Wealth Support team at 866.363.9595 (tel:866-363-9595) for further details.

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AE WEALTH MANAGEMENT

BACH TALK

Seth Godin’s Two Lists

In today’s episode of **BACH TALK,** I talk about Seth, his excellent blog post, why I’m challenging you to set aside an hour this week to create these two lists and the potential benefits of this fun exercise.

Learn More >> (https://bach-talk.com/seth-godin/)

As always, please reach out to your VP of Wealth Management at 866.363.9595 (tel:866-363-9595) for further details.

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