



# AE WEALTH MANAGEMENT

## Pricing Sheet

### Platform Tiered Pricing

Assets in Millions \$	AE WM Fee
0 - 25	75 BPS
25 - 50	65 BPS
50 - 75	60 BPS
75 - 100	55 BPS
100 - 150	50 BPS
150+	45 BPS

**IAR Fees** A supervision fee of 5 BPS on the first \$15M added for direct IARs

### Investment Sleeves and Account Fees

Sleeves		Description	AE WM Platform Fee	Client Fee
Standard Strategies	UMA	<ul style="list-style-type: none"> <li>Institutional Alpha</li> <li>Institutional ETT</li> <li>Diversified Income</li> </ul>	See 'Platform Tiered Pricing'	Advisor's Fee + Platform Tiered Pricing
Premium Strategies*	UMA	<ul style="list-style-type: none"> <li>Foundational Equity Dividend</li> <li>Institutional ETT</li> <li>Diversified Income</li> </ul>	See 'Platform Tiered Pricing'	Advisor's Fee + Platform Tiered Pricing + Model Manager Fee
Advisor Managed	UMA	Advisor Managed Models and other securities held at our custodians that the advisor is actively trading. Any assets not accounted for in an initial allocation will be placed in this sleeve by default.	30 BPS	Advisor's Fee + Platform Tiered Pricing
Advisor Managed (Non Wrap)	UMA	Advisor Managed (Non Wrap) Models and other securities held at our custodians that the advisor is actively trading and acting as their own CIO.	20 BPS + Ticket Charge	Advisor's Fee
Managed Alternatives	Separate Account	Non-Traded securities such as REITS and BDC's which are held with our custodians and we are charging a management fee for.	\$100/year	Advisor's Fee
Client Managed	Separate Account	Asset that the advisor provides no services for but they come through on Orion for reporting purposes	\$2.50/month	\$2.50/month

#### \*Premium Strategies

##### Additional 3rd Party SMA Fees

Foundational Equity Dividend  
Foundational Equity Value  
= Tiered Pricing + 15 BPS

##### Exclusive Investment Manager

Milliman Managed Risk Parity  
Milliman Management Fee = 20 BPS

##### Advisor Premium Strategies

=Tiered Pricing + additional 3rd party SMA fees  
(SMA fee calculated by allocation weighting)

#### Tech Bundle

##### Included in the Platform Pricing is your choice:

1 login for Riskalyze or 1 login for our Financial Planning Software

##### Take advantage of both:

\$100/month for access of FP Software & Riskalyze

Any Additional Users for Riskalyze or FP are \$100/month/user

##### **FREE TRIAL of Financial Planning Software:**

We will not bill you until the end of July 2018.

Get trained and realize its power within your practice before you have to decide.