

# Standard AEWB Models

Models managed by AEWB that do not include an upcharge.

## Key Features:

- Asset-based pricing
- Client cost = AEWB platform fee + Advisor’s annual fee (*\*additional custodial fees may apply*)
- Includes AEWB Sterling models (For Example: Institutional Alpha, Institutional ETF, Diversified Income, etc.)

## Fee Addendum:

The example below is the best practice for identifying Standard AEWB Model accounts on the AEWB Fee Addendum:

### CLIENT FEE DISCLOSURE

This Client Fee Disclosure sets forth the investment advisory fee for asset management services described in a Client Agreement executed by the undersigned Client on \_\_\_\_\_  
(Insert Date)

Unless otherwise specified in the schedule below, the fee for all household accounts will be 1.5 <sup>(REQ)</sup>

*NOTE: In this example, the fee of 1.5% represents the overall fee to the client. The advisor would receive less their platform fee (i.e. 1.5 - 0.75 = 75 bps rate to the advisor).*

It is best to use the household fee to identify the standard AEWB models, as this allows for the advisor to change allocations without the need for an additional fee addendum.

## Additional Information:

The Fee Addendum does not constitute trade instructions and will not drive the allocation or labeling of these sleeves. Advisors will need to submit cases through the AEWB Platform or via a mass allocation spreadsheet to ensure accounts are set up according to the client’s wishes.

AEWB will begin billing once the allocations have been made. All accounts are billed monthly in areas based on the average daily balance.

\*Additional fee’s may include but are not limited to: Some additional custodial fees could include: Transaction (buys/sells) fees, transfer/re-registration fees, custody fees, pass-through (ADR) fees and short-term trading fees.

## Billing Statement:

The examples below represent how the standard AEWB models would reflect on the advisor’s monthly billing statement:

Client Name	Mgmt Style	Custodian	Acct #	Billed Value	Days in Cycle	Fee Schedule	RIA	RIA Adj	RIA Total	
Rep: Test Rep			Rep No: Sample		Broker/Dealer: Sample					
Billing Period: Sample										
Test Client 5	AEWB Inst'l Alpha Fixed Income	Fidelity	656-xxxxxx_Fixed In	\$53,136.38	31/365	F140	Could not bill	\$33.85	(\$33.85)	\$0.00
Client Total (Test Client 5):				\$53,136.38				\$33.85	(\$33.85)	\$0.00
Test Client 7	AEWB Inst'l Alpha Balanced	Fidelity	676-xxxxxx_AE WM	\$120,075.34	20/365	F130		\$42.77	\$0.00	\$42.77
Test Client 7	AEWB Inst'l Alpha Aggressive Growth	Fidelity	676-xxxxxx_Aggress	\$129,892.42	20/365	F130		\$46.26	\$0.00	\$46.26
Client Total (Test Client 7):				\$249,967.76				\$89.03	\$0.00	\$89.03