

THE WEALTH ADVANTAGE



WHAT'S NEW WITH

AE WEALTH ADVANTAGE

Read more about everything new on the AEW platform.



 BACH TALK

The Longevity Talk: How to Help Your Clients TRULY Get the Most out of Retirement

Are your clients getting the most out of their retirement?

[Learn More »](#)



 PLATFORM UPDATES

Update to Non-Allocated Accounts

An important announcement regarding non-allocated accounts on the AEW Platform.

[Learn More »](#)

Notice of Annual Firm Brochure

Our Annual Update was sent to clients in April. Review the documents here.

[Learn More »](#)

From the Trade Desk

Get information on our updated process regarding rebalancing.

[Learn More »](#)



 CUSTODIAN UPDATES

Fidelity

Get information about the standing payment instruction quarterly purge.

[Learn More »](#)

As always, please reach out to your VP of Wealth Management at [866.363.9595](tel:866.363.9595) for further details.

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BACH TALK

The Longevity Talk: How to Help Your Clients TRULY Get the Most out of Retirement

In this episode of **BACH TALK**, I'm going to break down what you need to tell your clients as they approach retirement age. That includes how they should be thinking about spending their money over the next several decades and how you can help them achieve their biggest goals and dreams.

LEARN MORE

As always, please reach out to your VP of Wealth Management at 866.363.9595 (tel:866-363-9595) for further detail.



PLATFORM UPDATES

UPDATE TO NON-ALLOCATED ACCOUNTS

We have made an important update regarding non-allocated accounts on the AEWWM Platform. Please review this letter from our Chief Compliance Officer, Caleb Crook, for the details. This change effects all IARs and

Independent RIA

Co-Advisors.

[Download Here](#)

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PLATFORM UPDATES

NOTICE OF ANNUAL FIRM BROCHURE

In April, AE Wealth Management mailed copies of the **Notice of Annual Brochure Updates** and **Privacy Notice** to those households whom we provide advisory services. The Notice of Annual Brochure Updates summarizes the material changes AEWM made to its firm brochures (Form ADV Part 2A and Form ADV Part 2A Appendix 1). The Privacy Notice outlines how AEWM collects clients' personal information and under what circumstances we may share that information. Please review these documents to stay informed of the changes.

[Download Here](#)

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PLATFORM UPDATES

FROM THE TRADE DESK

We would like to make you aware of a trading function change scheduled to begin in August 2018. To ensure efficient and effective billing on your client's accounts there will be a monthly rebalancing event taking place on the 5th of each month. This will be used to facilitate the proper amount of cash being available to be withdrawn from each account to avoid any unnecessary delay in the billing process.

Please note that this event will not be happening the first month of each quarter to comply with the quarterly model manager rebalance; thus, avoiding over-trading in your accounts. We are implementing the drift tolerance for the cash position of .75% to ensure we capture as many accounts in need of additional cash. Please direct any questions you might have regarding this change to the AEWM allocation team at trading@ae-wm.com or 866-363-9595.

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CUSTODIAL UPDATES

FIDELITY

Fidelity EFT Standing Instructions Purge

This is a reminder that the EFT standing payment instruction purge executes quarterly on the 2nd Saturday of the month in February, May, August and November. **The next purge is on May 12, 2018.**

Why is Fidelity doing this? There are two primary reasons driving this decision:

1. Operational Risk: Ownership at the bank could have changed, so if the instructions have not been used in 36 months, we are removing them.
2. Fraud Protection: With pervasive phishing campaigns and compromised email interactions, we need to ensure that only active

instructions are maintained on our accounts.

The purge will only apply to instructions that have not been used in the past 36 months. The instructions must be used prior 5/12/2018 to avoid being purged – no exceptions. If and when the instructions are purged, a confirmation will be sent to your client.

Fidelity encourages each advisor to obtain a list of impacted accounts by accessing the Standing Instructions report in WealthScape. Please refer to the column labeled *Last Date Used* to determine which instructions have not been used in 36 months.

Directions to the report in WealthScape:

Reports>Client and Account Information>Standing Instructions

As always, please reach out to your AE Wealth Support team at 866.363.9595 (tel:866-363-9595) for further details.

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